

09-13-00

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# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
96-067X

Total Pages in this Submission

62

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SYSTEM AND METHOD FOR SUPPLYING SUPPLEMENTAL AUDIO INFORMATION FOR BROADCAST TELEVISION PROGRAMS**

and invented by:

Jay S. WALKER, James A. JORASCH, and Thomas M. SPARICO

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:
☒ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP) of prior application No.: 08/821,436

Which is a:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 32 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal      Number of Sheets 12
- b. ☐ Informal      Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☐ Newly executed *(original or copy)*      ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☐ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449      ☒ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail *(Specify Label No.):* EL632246046US

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## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Additional Enclosures (please identify below):

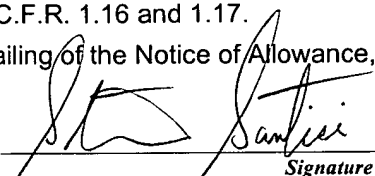
Transmittal letter and return receipt postcard.

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	17	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	9	- 3 =	6	x \$78.00	\$468.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,158.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$1,158.00** as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Steven M. Santisi  
Attorney for Applicants  
PTO Reg. No. 40, 157  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905  
(203) 461-7127 / Phone  
(203) 595-8266 / Fax

Dated: September 12, 2000

CC: Customer No. 22927



**22927**

PATENT TRADEMARK OFFICE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:	Jay S. Walker et al.	)	Customer No.:	22927
For:	SYSTEM AND METHOD FOR	)	Examiner	J. W. Miller (Anticipated)
	SUPPLYING SUPPLEMENTAL	)		
	AUDIO INFORMATION FOR	)		
	BROADCAST TELEVISION	)		
	PROGRAMS	)		
		)		
Serial No.:	Not yet Assigned	)	Group Art Unit:	2711 (Anticipated)
Filing Date:	September 12, 2000	)	Docket No.:	96-067X

*Box Patent Application*

Assistant Commissioner for Patents  
Washington, D.C. 20231

## TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Utility Patent Application, (large entity) (in duplicate);
2. Certificate of Mail by Express Mail, Label No. EL632246046US;
3. Preliminary Amendment;
4. Patent Application (32 pages);
5. Information Disclosure Statement, PTO Form 1449, and Copies of references cited (31 pieces in total);
6. Unexecuted Declaration and Power of Attorney;
7. Formal Drawings (Figures 1-10B, 12 pages in total); and
8. Acknowledgment Postcard.

The Assistant Commissioner is hereby authorized to charge \$1,158.00 to Deposit Account No. 50-0271. Order No. 96-067X. This fee includes the issue fee of \$1,158.00. A duplicate copy of this sheet is attached.

The Assistant Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 96-067X.

Respectfully submitted,



Steven M. Santisi  
Attorney for Applicants  
PTO Reg. No. 40,157  
Intellectual Property Department  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905

September 12, 2000  
Date

**Certificate of Mailing**

I hereby certify that this correspondence is being sent via Express Mail, Label No. EL632246046US with sufficient postage addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC, 20231 on September 12, 2000.

Jill Doherty  
Typed Name of Person Making Deposit



Signature

09/12/2000  
Date

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Walker et al.

Docket No.

96-067X

Serial No.

Not yet Assigned

Filing Date

September 12, 2000

Examiner

John W. Miller (Anticipated)

Group Art Unit

2711 (Anticipated)

Invention: **SYSTEM AND METHOD FOR SUPPLYING SUPPLEMENTAL AUDIO INFORMATION FOR  
BROADCAST TELEVISION PROGRAMS**



I hereby certify that this Continuation Application  
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  
September 12, 2000  
(Date)

Jill Doherty

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EL632246046US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.